



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roger Howard WILLIAMS, III

Serial No.: 10/777.586

Group No.:

Filed: February 12, 2004

Examiner:

For: SYSTEMS AND METHODS FOR IMPLEMENTING AN INTEREST-BEARING INSTRUMENT

Attorney Docket No.: U 015456-6

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

CORRESPONDENCE ADDRESS

Please direct all communication to:

☐ Ladas & Parry LLP
26 West 61st Street
New York, New York 10023

☒ Customer No.



00140

PATENT TRADEMARK OFFICE

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

*(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. 1.8(a)

37 C.F.R. 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Address"
Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office, to (703) 872-9306

Date: November 8, 2004

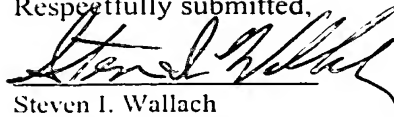
Signature

Steven I. Wallach

(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven I. Wallach", written over a horizontal line.

Steven I. Wallach
c/o Ladas & Parry LLP
26 West 61st Street
New York, New York 10023
Reg. No. 35402
Tel. No. (212) 708-1884